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(FILE 'HOME' ENTERED AT 12:06:18 ON 12 DEC 2001)

FILE 'USPATFULL' ENTERED AT 12:06:26 ON 12 DEC 2001

L1 83 S ((MARKET(3W)MAKER) OR (MARKETMAKER)) AND TRAD? AND ORDER

L2 7 S L1 AND POST?(3A) (MESSAGE OR NOTICE)

L2 ANSWER 6 OF 7 USPATFULL  
 AN 2000:129422 USPATFULL  
 TI **Market makers** using documents for commerce in  
**trading** partner networks  
 IN Meltzer, Bart Alan, Aptos, CA, United States  
 Allen, Terry, Sebastopol, CA, United States  
 Fuchs, Matthew Daniel, Los Gatos, CA, United States  
 Glushko, Robert John, San Francisco, CA, United States  
 Maloney, Murray, Pickering, Canada  
 PA Commerce One, Inc., Mountain View, CA, United States (U.S. corporation)  
 PI US 6125391 20000926  
 AI US 1998-173854 19981016 (9)  
 DT Utility  
 FS Granted  
 LN.CNT 3030  
 INCL INCLM: 709/223.000  
 INCLS: 707/513.000; 705/026.000; 709/230.000; 370/466.000  
 NCL NCLM: 709/223.000  
 NCLS: 370/466.000; 705/026.000; 707/513.000; 709/230.000  
 IC [7]  
 ICM: G06F013-00  
 EXF 709/223; 709/230; 705/26; 707/513; 370/466  
 AB A market making node in a network routes machine readable documents to  
 connect businesses with customers, suppliers and **trading**  
 partners. The self defining electronic documents, such as XML based  
 documents, can be easily understood amongst the partners. Definitions  
 of these electronic business documents, called business interface  
 definitions, are posted on the Internet, or otherwise communicated to  
 members of the network. The business interface definitions tell  
 potential **trading** partners the services the company offers and  
 the documents to use when communicating with such services. Thus, a  
 typical business interface definition allows a customer to place an  
**order** by submitting a purchase **order** or a supplier  
 checks availability by downloading an inventory status report. Also,  
 the registration at a **market maker** node of a  
 specification of the input and output documents, coupled with  
 interpretation information in a common business library, enables  
 participants in a **trading** partner network to execute the  
 transaction in a way which closely parallels the way in which paper  
 based businesses operate.

L2 ANSWER 7 OF 7 USPATFULL  
AN 91:63246 USPATFULL  
TI Method and apparatus relating to conducting **trading**  
transactions with portable **trading** stations  
IN Kramer, Robert M., 104 W. 70th St., New York, NY, United States 10023  
PI US 5038284 19910806  
AI US 1988-157963 19880217 (7)  
DT Utility  
FS Granted  
LN.CNT 1365  
INCL INCLM: 364/408.000  
INCLS: 340/825.760; 340/825.270  
NCL NCLM: 705/037.000  
NCLS: 340/825.260; 340/825.270; 705/038.000  
IC [5]  
ICM: G06F015-20  
EXF 364/408; 364/401; 340/825.27; 340/825.26; 340/825.25  
AB A central computer equipped with communications hardware and specially  
designed software receives transaction data from personal transaction  
stations operated by **traders**, sends back verification  
information to the **traders**, reconciles all **trades**,  
informs **traders** when an error occurs, generates complete  
records of all transactions, reports price and volume data to quote  
vendors, provides numerous reports which analyze **trading**  
activity to detect potential regulatory violations, creates a complete  
real-time backup copy of all data, and provides intraday profit, loss,  
risk, and margin information to exchange and Futures Commission  
Merchant  
personnel.